



<b>RFP NUMBER:</b>	RFP/SASSETA/201920112
<b>DESCRIPTION:</b>	Appointment of a Panel of Researchers and M&E Experts until 31 <sup>st</sup> March 2022
<b>PUBLISH DATE:</b>	16 August 2019
<b>CLOSING DATE:</b>	09 September 2019
<b>CLOSING TIME:</b>	11h00
<b>COMPULSORY BRIEFING SESSION DATE</b>	There is compulsory briefing session taking place at: SASSETA Offices, Riverview Office Park, 3 Janadel Avenue (off Bekker Road), Halfway Gardens, Midrand on the 22nd August 2019 from 12h00 to 13h30. Doors will close at 12h15 - Bidders who come after 12h15 will not be allowed into the session. Non-attendance of the briefing session will lead to automatic disqualification.
<b>VALIDITY PERIOD:</b>	120 Days from the closing date
<b>PREFERENCE POINT SYSTEM</b>	80/20
<b>BID RESPONSES MUST BE HAND DELIVERED/ COURIERED TO:</b>	SASSETA Offices Riverview Office Park 3 Janadel Avenue (off Bekker Road) Halfway Gardens Midrand 1685
<b>ATTENTION:</b>	Jackie Kwinika
<b>NB: Bidders must ensure that they sign the tender register at the reception when delivering their bids. Bidders who will use Courier companies are to ensure that the Courier company writes the name of the bidding company on the tender register. Submissions not registered on the tender register will be disqualified from further evaluation.</b>	

The SASSETA logo cannot be displayed any shape or form on proposals sent to SASSETA for consideration.

## DOCUMENTS IN THIS BID DOCUMENT PACK

Bidders are to ensure that they have received all pages of this document, which consist of the following documents:

### SECTION A

1. RFP Submission Conditions and Instructions
2. Terms of Reference
3. Selection Process

### SECTION B

1. Special Conditions of Bid and Contract
2. Invitation to Quote (SBD 1)
3. Declaration of Interest (SBD 4)
4. Preference Points Claim form in terms of Preferential Procurement Regulations 2017 (SBD 6.1). Bidders are to submit a certified copy of a valid B-BBEE Certificate or Sworn Affidavit.
5. Declaration of bidder's Past SCM Practices (SDB 8)
6. Certificate of Independent Bid Determination (SBD 9)
7. Submission Checklist
8. General Conditions of Contract (Annexure A)
9. Map to SASSETA offices (Annexure B)

**NB.: Bidders are required to return the SASSETA attached Standard Bidding (SBD) forms and not submit SBD forms from other entities.**

## 1. RFP SUBMISSION CONDITIONS AND INSTRUCTIONS

### 1.1 FRAUD AND CORRUPTION

- 1.1.1 All Service Providers are to take note of the implications of contravening the Prevention and Combating of Corrupt Activities Act, Act No 12 of 2004 and any other Act applicable.

### 1.2 COMPULSORY BRIEFING SESSION

- 1.2.1 **There will be a compulsory briefing session for this Request for Proposal. Bidders who do not attend the compulsory briefing session will be disqualified during phase 1 Evaluation (Mandatory requirements) of this bid.**

**Date** : 22 August 2019

**Time** : 12h00 – 13h30

**Venue** : SASSETA Offices, Riverview Office Park, 3 Janadel Avenue (off Bekker Road), Halfway Gardens, Midrand

### 1.3 CLARIFICATIONS/QUERIES

- 1.3.1 Any clarification required by a bidder regarding the meaning or interpretation of the Terms of Reference, or any other aspect concerning the bid, is to be requested in writing (e-mail) from Mr Jackie Kwinika at [scm02@sasseta.org.za](mailto:scm02@sasseta.org.za) by **28 August 2019 at 12h00**. The bid **number** should be mentioned in all correspondence. **Telephonic requests for clarification will not be accepted**. If clarifying information will be made available to all bidders by e-mail only.

### 1.4 SUBMITTING BIDS

- 1.4.1 An original plus one soft copy either on a CD or a flash drive of bid, should be handed in/delivered to:

Mr Jackie Kwinika  
Supply Chain Management  
SASSETA Offices  
Riverview Office Park  
3 Janadel Avenue (off Bekker Road)  
Halfway Gardens  
Midrand 1685

Bids should be submitted in a sealed envelope, marked with:

- ❖ RFP number: RFP/SASSETA/201920112
- ❖ Closing date and time: 09 September 2019 at 11h00
- ❖ The name and address of the bidder

**Documents submitted on time by bidders shall not be returned.**

### 1.5 LATE BIDS

- 1.5.1 Bids received late shall not be considered. A bid will be considered late if it arrived only one second after 11h00 or any time thereafter. Bids arriving late will not be considered under any circumstances. Bids received late shall be returned unopened to the bidder with the person delivering the documents.

Bidders are therefore strongly advised to ensure that bids be despatched allowing enough time for any unforeseen events that may delay the delivery of the bid.

- 1.5.2 The official Telkom time (dial 1026) will be used to verify the exact closing time (11h00)
- 1.5.3 Bids sent to SASSETA via normal post or any other mechanism shall be deemed to be received at the date and time of arrival at the SASSETA premises (tender/bid box or reception). Bids received at the physical address after the closing date and time of the bid, shall therefore be deemed to be received late.

## **1.6 DIRECTIONS TO SASSETA OFFICE FOR DELIVERY OF BIDS**

- 1.6.1 Directions to SASSETA offices are attached as **Annexure B** to this document.
- 1.6.2 **Bidders should allow time to access the premises due to security arrangements that need to be observed.**

## **1.7 FORMAT OF BIDS**

- 1.7.1 Bidders must complete all the necessary bid documents and undertakings required in this bid document. Bidders are advised that their bid should be concise, written in plain English and simply presented.
- 1.7.2 Bidders are to set out their bid in the following format:

### **Part 1: Invitation to Bid (SBD 1)**

Bidders must complete and submit the Invitation to Bid document.

### **Part 2: Pricing (SBD 3.3)**

Bidders Must complete SBD 3.3 as attached to this request in full and also must submit their own detailed quotation on their Company letterhead inclusive of VAT and any other costs as per the requirements of the Terms of Reference.

**The value of this bid is estimated not to exceed R50 000 000 (all applicable taxes included) and therefore the 80/20 system shall be applicable**

### **Part 3: Declaration of Interest (SBD 4)**

Each party to the bid must complete and submit the Declaration of Interest.

### **Part 4: Preference Points Claim Form in terms of the Preferential Procurement Regulations 2017 (SBD 6.1)**

Bidders must complete and submit the Preference Points Claim Form.

A trust, consortium or joint venture:

- ❖ will qualify for points for their B-BBEE status level as a legal entity, provided that the entity submits their B-BBEE status level certificate OR a Letter from a registered Accounting Officer/ Auditor OR a sworn affidavit in terms of the

Amended B-BBEE Codes from Exempted Micro Enterprises (EMEs) of R10 000 000 annual turnover.

- ❖ will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid.

**Part 5: Declaration of bidders past supply chain management practices (SBD 8)**

Each party to the bid must complete and submit the Declaration of past supply chain management practices

**Part 6: Certificate of Independent Bid Determination (SBD 9)**

Bidders must complete and submit the “Certificate of Independent Bid Determination” document.

**Part 7: Broad Based Black Economic Empowerment Certificate**

Bidders are to submit an Original/Certified copy of a valid BBEE Certificate.

A trust, consortium or joint venture will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid. or a letter from an Accounting Officer/ Auditor or a sworn affidavit in terms of the Amended B-BBEE Codes from Exempted Micro Enterprises (EMEs) of R10 000 000 annual turnover.

**Part 8: General Conditions of Contract**

Bidders **must** initial each page of the General Conditions of Contract and submit with their bid document.

**Part 9: Technical approach**

Bidder must at least:

Describe, in detail, exactly how they propose to carry out the activities to achieve the outcomes identified in the terms of reference. Bidders are required to have the basic office equipment and the resource/s (which must include, laptop, 3G connection, landline, own office with the basic office equipment) will be located within the region where the work will be done. They should identify any possible problems that might hinder delivery and indicate how they will avoid, or overcome such problems.

Describe how the work will be managed. Provide an organisation chart clearly indicating:

- ❖ The lines of reporting and supervision within the bidder’s team.
- ❖ The lines of reporting between the bidder and SASSETA.

Identify the position(s) involved in the direct delivery of the service to be provided and in the overall management of the work and name the people who will fill these positions.

Describe the tasks, duties or functions to be performed by staff in these positions.

Indicate the number of hours required to complete each task and the number of hours to be provided by each team member. The bidder must be able to work within strict time lines and have the capacity to do so.

Provide information on any additional value added services for consideration by SASSETA, and which will form part of the overall proposed solution. Please note that the additional value ads must be priced separately in the space provided for in the pricing schedule.

Bidders are to present such information in a matrix. The following is provided merely as guidance. Bidders are free to elaborate as they see fit.

Out come/output	Activity	Team member(s) involved (name and position)	Person days for each team member	Total person days

Provide a work plan of activities. In addition to providing details of the estimated number of workdays for each activity, bidders are to supply a detailed timetable that identifies when certain activities will be undertaken and over what period they will be spread. The timing of activities, the time needed to complete them, and the order in which they will be undertaken must be explained and justified.

Please note that part 9 should be no longer than 10 single-sided A4 pages in Arial 11 (font size).

**Part 10: Team Details**

The bidder must provide:

- ❖ A comprehensive curriculum vitae (relevant to this bid and limited to two pages). In particular, the CV must highlight the team member(s) experience and qualifications to carry out the work

NB: It is to be noted that team members proposed for this assignment cannot be replaced without prior approval by the SASSETA.

**Part 11: Experience in this field**

It is essential the service provider displays:

- ❖ Experience as set out in the terms of reference
- ❖ The Bidder must provide signed reference letters on company letterheads of previous clients where the Bidder provided similar services.

**Part 12: Bidders National Treasury CSD registration report**

Bidders to submit their CSD report indicating the validity of the bidder’s registration. Directors listed on SBD 4 to be the same as those listed on the CSD report.

## **1.8 NEGOTIATION**

- 1.8.1 SASSETA has the right to enter into negotiation with a prospective service provider regarding any terms and conditions, including price(s), of a proposed contract.
- 1.8.2 SASSETA shall not be obliged to accept the lowest of any quotation, offer or bid.
- 1.8.3 SASSETA issues this bid invitation in good faith; however, it reserves the right to:
- ❖ Cancel or delay the selection process at any time, without explanation,
  - ❖ Not to select any of the respondents to this bid invitation, without explanation,
  - ❖ Exclude certain services, without explanation.
- 1.8.4 All bidders will be informed whether they have been successful or not. A contract will only be deemed to be concluded when reduced to writing in a contract form signed by the designated responsible person of both parties.

## **1.9 REASONS FOR REJECTION**

- 1.9.1 SASSETA shall reject a bid for the award of a contract if the recommended bidder has committed a proven corrupt or fraudulent act in competing for the particular contract.
- 1.9.2 SASSETA may disregard the bid of any bidder if that bidder, or any of its directors:
- 1.9.2.1 have abused the Supply Chain Management systems of SASSETA.
  - 1.9.2.2 have committed proven fraud or any other improper conduct in relation to such systems.
  - 1.9.2.3 have failed to perform on any previous contract and the proof exists.
- 1.9.3 Such actions shall be communicated to the National Treasury.

## 2. TERMS OF REFERENCE

### 2.1. INTRODUCTION AND BACKGROUND

The Safety and Security Sector Education and Training Authority (SASSETA) was established on 1 July 2005. It is one of the 21 Sector Education and Training Authorities (SETA's) established in terms of the Skills Development Act (Act 97 of 1998) as amended. SASSETA's licence has been renewed until 31st March 2030. The SETA is classified as a schedule 3A Public Entity in terms of the Public Finance Management Act, (Act 1 of 1999, as amended) and is accountable to the Department of Higher Education and Training (DHET).

The Safety and Security Sector includes components of two of the major sectors in the Standard Industrial Classification (SIC) namely Group 8 (Finance, Real Estate and Business Services) and Group 9 (General Government Services). SASSETA has grouped its constituencies into seven subsectors (also known as chambers), namely: Policing, Corrections, Defence, Justice, Intelligence Activities, Legal Services, as well as Private Security and Investigation Activities.

#### 2.1.1 SASSETA's Strategic Plan

SASSETA's Strategic Plan (2015/16 – 2019/20) outlines the following four Strategic Outcome-oriented Goals (SOG), namely:

- **SOG1:** Provide strategic leadership, technical and administrative support services within the organisation,
- **SOG2:** Establishing a credible institutional mechanism for skills planning,
- **SOG3:** Reduce the scarce and critical skills gap in the safety and security sector through the provisioning of learning programmes, and
- **SOG4:** Building quality development capacity ensuring development and realignment of occupational qualifications

#### 2.1.2 SASSETA's Research Agenda

In response to the Strategic Outcome-oriented Goal 2, that is, 'establishing a credible institutional mechanism for skills planning', the Research Department compiled the Research Agenda for the SETA. The purpose of the Research Agenda is to support a sound skills planning in order to respond to the skills development needs of the Safety and Security Sector. This document also spells out the SETA's five research focus areas for the period: 2016–2020 and these are:

- Developing internal research capacity;
- Supporting and developing research networks (i.e. reference group) for the sector;
- Linking SASSETA's post-graduate bursaries holders with certain topics that are of significance in the sector, building a research repository for the sector, and developing systems and processes to improve SASSETA information [management]. In essence, the SASSETA's Research Agenda has been developed to support and the advance the SETA-sector strategy, as well as;
- To further inform stakeholders in various sub-sectors about the SETA's research focus areas and priorities.

In essence, SASSETA is committed to its mandate to be labour market intelligent centre for the sector and take any possible and viable measure to



ensure that it has credible and well professionally researched sector skills plan and hence; be able to support relevant education and training interventions in the sector, particularly in the context of the National Skills Development Strategy (NSDS) III and the White Paper for Post-School Education and Training.

#### **a) The National Skills Development Strategy III<sup>1</sup>**

The SETA is also committed to the National Skills Development Strategy (NSDS) III Goal 4.1 which focuses on “Establishing a credible institutional mechanism for skills planning” and its related outputs. Additionally, SASSETA is steadfast about Output 4.1.1.2 of the NSDS III which states that “Sector skills plans are professionally researched; provide a sound analysis of the sector and articulate an agreed sector strategy to address skills needs.” (NSDS III, 2011).

Central to the objective of the NSDS III is to promote a skills development system that effectively responds to the needs of the labour market, particular to the needs of the safety and security industry. Therefore, research is a key pillar to the advancement of the NSDS III targets, objectives and commitments. Therefore, SASSETA’s Research Department is enjoined to play a significant role in the production of accurate and factual information to be used for planning, implementation and evaluation of SASSETA’s skills development initiatives.

#### **b) The White Paper for Post-School Education and Training**

In addition to the NSDS III, the White Paper for Post-School Education and Training (DHET, 2013) notes that research should be at a centre of building a focus and responsive post-schooling system. It also asserts that partnership with higher education institutions (HEI) and industry need to be established to support research on industry needs and skills planning. It is envisaged that research will support skills priority actions as identified in the SSP. These are:

- Partnership with sector training institutions and academies;
- Transformation and professionalization of the sector;
- Information communication and technology (ICT) skills;
- Technical and specialised skills; and
- Building an active citizenry.

#### **2.1.3 SASSETA’s Research priorities**

SASSETA’s Research Agenda comprises four focus areas. It therefore worth noting that the themes/topics under each focus area are complementary and not exhaustive. The research priority areas for the Research Agenda are:

- Sub-sector and labour market research;
- Professionalization and transformation of the sector;
- Evaluation studies, including tracer, tracker and impact assessment studies; and
- Supporting and developing research capacity in the sector.

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<sup>1</sup> This NSDS III expires on 31 March 2010. The strategy will be replaced by the National Skills Development Plan (NSDP) 2030 with effect from 01 April 2020

#### **a) Sub-sector and labour market research**

This priority area is aimed at ensuring that sector needs are best catered for and to enable the SETA to address and align skills production for the sector. It builds on past knowledge of the subsector(s) reports which feeds into the annual update of the Sector Skills Plan (SSP), especially chapters on the Sector profile, Key skills issues as well as the Extent of skills mismatch in order to identify skills shortages and critical skills for the sector.

#### **b) Professionalization and transformation of the sector**

This priority area seeks to contribute towards professionalization and transformation of the sector by providing knowledge or understanding to progressively implement skills development interventions that would contribute towards transformation and professionalization in the sector.

#### **c) Evaluation studies (including tracer, tracker and impact assessment studies)**

This priority area focuses on studies that seek to reflect on and self-critique the efficacy and effectiveness, as well as the impact of skills development interventions implemented in advancing the mandate of the SETA, particular in terms of professionalising and transforming the sector.

#### **d) Supporting and developing research capacity in the sector**

The focus of this priority area is threefold. Firstly, it seeks to support and develop research capacity in the sector in order to close knowledge gaps. Secondly, the priority strives to harness existing knowledge in order to improve SASSETA's interventions and response in addressing skills development in the sector. Finally, the priority area seeks to increase the pool of researchers within the safety and security sector.

### **2.2. PURPOSE**

SASSETA requires services of suitable and experienced researchers from academia, research institutes and research consultancies to conduct various assignments as indicated in paragraph 2.3 (i.e. Scope of work) below.

### **2.3. SCOPE OF WORK**

Research, Monitoring and Evaluation (M&E), and training assignments will respond to the research priorities mentioned in 2.3.3 (a – d) above.

- 2.3.1** Conduct qualitative and quantitative research to inform SASSETA Sector Skills Plan (SSP) as and when called upon to do so;
- 2.3.2** Implement impact and tracer studies to determine the impact of SASSETA skills development interventions;
- 2.3.3** To Provide research as well as monitoring and evaluation capacity building for SASSETA staff members. This will include, but not limited to providing training on research designs and methods, and data analysis methods;
- 2.3.4** Offer skills planning capacity building sessions in line with the mandate of SASSETA; and
- 2.3.5** Deliver labour market intelligence relating to the Safety and Security Sector.

## **2.4. REQUIRED DELIVERABLES**

The required deliverables will be specified on project by project basis among successful bidders as outlined in paragraph 2.3 (Scope of work) above. Nonetheless, for research as well as evaluation studies, the required deliverables will include:

- Inception reports;
- Revised first draft reports;
- Final reports;
- Data collection instruments and the data sets; and
- Final Power-Point presentations.

### **2.4.1. Inception reports**

Inception reports will provide details about the research/M&E and Training methodologies to be utilised, including the main research methods, the sampling frameworks, proposed sources of data, procedures for data collection and analysis, and a draft (but detailed) table of contents.

**NB.:** The proposed research/evaluation tools will be discussed with and approved by the Research Department of SASSETA before data collection commences.

### **2.4.2. Revised first draft reports**

The revised draft reports will incorporate suggestions and recommendations from SASSETA. Moreover, second round of revisions may be required before final versions of reports can be submitted.

### **2.4.3. Final reports**

Final reports will be submitted as follows:

- One electronic copy in PDF and Ms Word formats;
- Three hard Copies; and
- One USB.

### **2.4.4. Data collection instruments and the data sets**

An electronic copy of all data collection instruments and the skills survey data set will be submitted to the SASSETA Research Department.

### **2.4.5. Final Power-Point presentations**

Final Powerpoint presentations of the overall findings and recommendations of research and evaluation studies will be made to SASSETA's management for validation.

## **2.5. BIDDING COMPANY RESPONSES TO THE RFP**

Interested Bidding Companies are to indicate to SASSETA as to which category are they applying for, namely:

### **2.5.1. Research/Evaluation Category**

- (a) Sub-sector and labour market research
- (b) Professionalization and transformation of the sector

- (c) Evaluation studies (including tracer, tracker and impact assessment studies)

### **2.5.2. Training Category**

- (a) Supporting and developing research/M&E capacity in the SETA. This will include but not limited to:
  - Research design and methodologies
  - M&E design and methodologies
  - Data analysis

**NB.:** It is a requirement of this tender that each bidding company guide SASSETA on their area of interest. Procurements will be categories into the two categories when work is being allocated. Bidders are required to select one or both categories

## **2.6. SKILLS AND EXPERIENCE OF THE BIDDING COMPANY AND THE TEAM MEMBERS PROPOSED FOR THE ASSIGNMENT**

### **2.6.1. Research/Evaluation Category**

#### **2.6.1.1. Research / Evaluation methodology and report writing**

The bidders are expected to demonstrate a comprehensive understanding and experience in terms approaches of undertaking research / evaluation studies. However, the following guiding principles will be taken into consideration:

- Knowledge and experience in utilising qualitative and quantitative research methods;
- Knowledge and experience in the application of different of data analysis methods; and
- Knowledge and experience in the production of research / evaluation reports with feasible recommendations.

*Therefore, the bidding company should demonstrate the following skills and experience:*

- A minimum of three (3) research / evaluation assignments completed for public/private institutions accompanied by three (3) signed reference letters for from previous clients.

#### **2.6.1.2. Qualifications and Research / Evaluation experience of the Project Team Leader**

The evaluation / research/ methodology training project team leader should be in possession of the following qualifications and demonstrate the following experience:

- A minimum of an honours degree in social sciences.
- A minimum of three (3) research/evaluation assignment with public/private institutions or entities.
- A minimum of three years (3) experience in leading research/evaluation teams.

## **2.6.2. Training Category**

### **2.6.2.1. Research / evaluation methodology and data analysis training**

The bidder should demonstrate the following skills and experience:

- A minimum of three (3) research / evaluation methodology and data analysis training assignments completed for public/private institutions or entities, accompanied by three (3) signed reference letters for from previous clients on the letterhead of the client(s) on the letterhead of the client(s).
- Bidding company is required to submit (1) copy of training material developed by the bidder and utilised on research/evaluation methodology and data analysis

### **2.6.2.2. Qualifications and Research / Evaluation experience of the Project Team Leader**

The evaluation / research/ methodology training project team leader should be in possession of the following qualifications and demonstrate the following experience:

- A minimum of an honours degree in social sciences.
- A minimum of three (3) research/evaluation training assignments undertaken for public/private institutions/entities.
- A minimum of three years (3) experience in leading training teams.

## **2.7. TIMEFRAMES FOR DELIVERY OF THE WORK**

**2.7.1.** The successful service providers will be appointed onto this panel from date of appointment until 31<sup>st</sup> March 2022.

**2.7.2.** Work allocation on this panel will be procured on an adhoc basis and price quotations will be sought before appointment. Project timeframes will be dependent on the scope and the nature of the assignment as determined by SASSETA from time to time.

## **2.8. SUBMISSION REQUIREMENTS**

**2.8.1.** The proposal and the bidder is required to consist of, and demonstrate, the following:

- 2.8.1.1.** Understanding of and experience in the scope of work;
- 2.8.1.2.** Research methodology to be utilised to execute the scope of work;
- 2.8.1.3.** Project plan detailing various activities (milestones) and when the deliverables will be submitted;
- 2.8.1.4.** Profile of the bidder;
- 2.8.1.5.** Project team structure, roles and responsibilities;
- 2.8.1.6.** Detailed CVs of the project leader and other team members (should more than one researcher be proposed for the project);
- 2.8.1.7.** Certified qualifications of the team members (Project leader/manager must be in possession of at least NQF level 9, other researchers in the team at least NQF level 8);
- 2.8.1.8.** Experience of the bidder and team related to the skills required and in similar projects successfully undertaken, and

**2.8.1.9.** Signed reference letters on an official letterhead from three contactable referees demonstrating skills and expertise to undertake the contract.

## **2.9. PRICING**

**2.9.1.** For appointment on this panel, there will be no pricing required at this stage.

**2.9.2.** Pricing will be sought on an adhoc basis as and when work is available. Bidders will be required to provide an all-inclusive cost of each assignment as per the pricing structure of the particular assignment.

## **2.10. ACCOUNTABILITY AND REPORTING**

**2.10.1.** The service provider will report directly to the Research Department of SASSETA for the duration of the assignment.

## **2.11. INTELLECTUAL PROPERTY**

**2.11.1.** The service provider will be contracting with SASSETA. All products and data of this project, in whatever format raw or analysed, will be the confidential information for utilisation by SASSETA. All information and documents, received from SASSETA or stakeholders, is to be kept confidential and may not be used or distributed in any format without the written approval of SASSETA. To this end, the service provider will be required to sign a confidentiality agreement within the Contract

### 3. PROPOSED SELECTION CRITERIA

#### 3.1. Compliance with minimum requirements

3.1.1 All bids duly lodged will be examined to determine compliance with bidding requirements and conditions. Bids with obvious deviations from the requirements/conditions, will be eliminated from further evaluation

#### 3.2 Conditions for selection/short listing

3.2.1 All submissions will be evaluated as follows:

##### 3.2.1.1 Phase 1 – Required items

###### Service Providers must:

- Attend the compulsory briefing session (**Non-attendance of a compulsory briefing will lead to automatic disqualification**)
- Submit their proposal by the closing date and time. **Proposals submitted after the closing date and time will be disqualified from further evaluation.**
- Register the proposals in the tender register at SASSETA reception. **Proposals not recorded on the tender submission register at SASSETA reception will be disqualified from further evaluation.**
- complete and submit all Standard Bidding Documents (SBD) forms mentioned above on page 2 of this document, namely: SBD1, SBD4, SBD 6.1, SBD 8 and SBD 9
- Be registered on the National Treasury Central Supplier Database (CSD) by the closing date and time of this request for quotation. Bidders are to provide SASSETA with a copy of their CSD registration report downloaded from the National Treasury CSD Website.
- Initial each page of the General Condition of Contract (Annexure A) and submit with the proposal

##### 3.2.1.2 Phase 2 – Functionality evaluation

Bidders who meet the mandatory items requirements above will be evaluated on functionality requirements as per the table below:

###### Functionality Evaluation

All proposals will be evaluated on the criteria provided in the table below. The proposals of all service providers will be rated on a scale of 0 to 5.

- 0/1: Unacceptable, does not meet set criteria
- 2: Weak, less than acceptable. Insufficient for performance requirements
- 3: Satisfactory should be adequate for stated element
- 4: Very good, above average compliance to the requirement
- 5: Exceptional mastery of the requirement should ensure extremely effective performance.

**Evaluation Criteria for Research/Evaluation Category**

**NB.:** Bidders who respond for the above mentioned category will be evaluated as follows:

ELEMENT	FUNCTIONALITY EVALUATION		FUNCTIONALITY WEIGHT	TOTAL SCORE
<b>1. Proposed technical approach and research / evaluation methodology of the bidder</b>	<b>Rating out of 5</b>	<b>Evaluation criteria</b>		
Bidding Company to outline research/Evaluation design and methodology to be applied <b>(40 Points)</b>	<b>0</b>	Research / evaluation methodology and report writing details NOT included.	<b>40%</b>	
	<b>1</b>	Short research / evaluation methodology and report writing details that does not relate to the full scope of work.		
	<b>3</b>	Research / evaluation methodology and report writing details include: <ul style="list-style-type: none"> <li>• Full scope of work,</li> <li>• Timeframes,</li> <li>• Research/evaluation designs and methodologies</li> <li>• Research/evaluation data analysis techniques/methods</li> <li>• Deliverables.</li> </ul>		
	<b>5</b>	Research / evaluation methodology and report writing details that includes: <ul style="list-style-type: none"> <li>• Full scope of work,</li> <li>• Research/evaluation designs and methodologies</li> <li>• Research/evaluation data analysis techniques/methods</li> <li>• Deliverables</li> <li>• Project plan which indicates activities and timeframes that adhere to the terms of reference, and</li> <li>• Risk and mitigation plan.</li> </ul>		
<b>2. Suitability of the bidding Company</b>				
A minimum of three (3) research / evaluation / training assignments completed for public/private institutions/entities,	<b>0</b>	Bidder has NOT undertaken research / evaluation assignments for public/private institutions/entities and/or no reference letters submitted.		



<p>accompanied by three (3) signed reference letters from previous client(s) on the letterhead of the client(s). <b>(20 points)</b></p>	<b>3</b>	The bidder has completed three (3) research / evaluation assignments for public/private institutions/entities.	<b>20%</b>	
	<b>5</b>	The bidder has completed four (4) or more research / evaluation assignments for public/private institutions/entities.		
<b>3. Suitability of the project team leader</b>				
<p>3.1 The project team leader must be in possession of at least an honours degree in social sciences. <b>(20 points)</b></p> <p><b>NB: Certified copies of certificates must be attached.</b></p> <p>International qualifications must be verified by SAQA and a certified copies of letters conforming confirming the validity of the qualifications must be attached.</p>	<b>0</b>	Certified copies of certificates or letter(s) confirming international qualification(s) not attached.	<b>20%</b>	
	<b>3</b>	Certified copy of an honour's degree in social sciences or conformation of an equivalent international qualification attached.		
	<b>5</b>	Certified copy of a master's degree or higher in social sciences or conformation of an equivalent international qualification attached		
<p>3.2 The project team leader to demonstrate a minimum of three (3) assignments in conducting research / evaluation assignments for public/private institutions/entities. <b>(20 points)</b></p> <p>Comprehensive CV to be attached demonstrating the required number of assignment undertaken.</p>	<b>0</b>	The team leader has no experience in conducting research / evaluation assignments for public/private institutions/entities. Or the project team leader has completed less than three (3) research / evaluation assignments for public/private institutions/entities.	<b>20%</b>	
	<b>3</b>	The project team leader has completed three (3) research / evaluation assignments for public/private institutions/entities.		
	<b>5</b>	The project team leader has completed four (4) or more assignment in research/evaluation for public/private institutions/entities.		
<b>Total</b>			<b>100%</b>	

## Training Category

**NB.:** Bidders who respond for the above mentioned category will be evaluated as follows:

ELEMENT	FUNCTIONALITY EVALUATION		FUNCTIONALITY WEIGHT	TOTAL SCORE
Suitability of the bidding Company	Rating out of 5	Evaluation criteria		
1. Bidding Company to outline the number of assignments completed in research / evaluation training for public/private institutions/entities accompanied by three (3) signed reference letters from previous client(s) on the letterhead of the client(s). <b>(20 points)</b>	0	Bidder has NOT undertaken research /evaluation training assignments for public/private institutions/entities and/or no reference letters submitted.	<b>20%</b>	
	3	The bidder has completed three (3) research/evaluation training assignments for public/private institutions/entities.		
	5	The bidder has completed four (4) or more research/evaluation training assignments for public/private institutions/entities.		
2. Bidding company is required to submit (1) copy of training material developed by the bidder and utilised on research/evaluation methodology and data analysis. <b>(20 points)</b>	0	Bidding company did not submit a copy of training material developed by the bidder and utilised on research/evaluation methodology and data analysis	<b>20%</b>	
	3	Bidding company submitted one (1) copy of training material developed by the bidder and utilised on research/evaluation methodology and data analysis		
	5	Bidding company submitted two (2) or more copies of training material developed by the bidder and utilised on research/evaluation methodology and data analysis		
<b>Suitability of the project team leader</b>				
3. The project team leader must be in possession of at least an honours degree in in social sciences.  <b>NB: Certified copies of certificates must be attached.</b>	0	Certified copies of certificates or letter(s) confirming international qualification(s) not attached.	<b>10%</b>	
	3	Certified copy of an honour's degree in social sciences or conformation of an equivalent international qualification attached.		
	5	Certified copy of a master's degree or higher in social sciences or conformation of an equivalent international qualification attached		

International qualifications must be verified by SAQA and a certified copies of letters conforming confirming the validity of the qualifications must be attached. <b>(10 points)</b>				
4. The project team leader to have a minimum of three (3) research/evaluation training assignments for public/private institutions/ entities. <b>(30 points)</b>  Comprehensive CV to be attached demonstrating the required number of assignment undertaken.	<b>0</b>	The team leader does not have experience in conducting research/evaluation training assignments for public/private institutions/entities. Or The team leader has less than three (3) assignments in conducting research / evaluation training for public/private institutions/entities.	<b>30%</b>	
	<b>3</b>	The project team leader has a completed a minimum of three (3) assignments in conducting research / evaluation training for public/private institutions/entities.		
	<b>5</b>	The project team leader has completed four (4) or more assignments in conducting research/evaluation training for public/private institutions/entities.		
5. The team leader to demonstrate a minimum of three years (3) experience in leading training teams. <b>(20 points)</b>  Comprehensive CV to be attached demonstrating the required number of assignment undertaken.	<b>0</b>	The team leader does not have experience in leading training teams or the team leader has less than three years (3) experience in leading training teams.	<b>20%</b>	
	<b>3</b>	The team leader demonstrates three years (3) experience in leading training teams		
	<b>5</b>	The team leader demonstrates four (4) or more years' experience in leading training teams		
Total			<b>100%</b>	

**Bidders are required to meet a minimum of 60% for functionality in order for them to be evaluated further.**

### **3.3 ADJUDICATION OF BID**

- 3.3.1 The Bid Adjudication Committee will consider the recommendations of the Bid Evaluation Committee (BEC) and make a recommendation to the Award Authority to make the final award. The successful bidder will usually be the service provider scoring the highest number of points or it may be a lower scoring bid based on firm, verifiable and justifiable grounds or no award at all.

## **SECTION B**

### **1. SPECIAL CONDITIONS OF CONTRACT**

#### **1.1. GENERAL**

1.1.1 Proper bids for the services specified must be submitted.

#### **1.2. ADDITIONAL INFORMATION REQUIREMENTS**

1.2.1 During evaluation of the bids, additional information may be requested in writing from Bidders. Replies to such request must be submitted in writing, within two (2) working days or as otherwise indicated. Failure to comply will lead to your bid being disregarded.

#### **1.3. CONFIDENTIALITY**

1.3.1 The bid and all information in connection therewith shall be held in strict confidence by bidders and usage of such information shall be limited to the preparation of the bid. Bidders shall undertake to limit the number of copies of this document.

1.3.2 All bidders are bound by a confidentiality agreement preventing the unauthorised disclosure of any information regarding the SASSETA or of its activities to any other organisation or individual. The bidders may not disclose any information, documentation or products to other clients without written approval of the accounting authority or the delegate.

#### **1.4. INTELLECTUAL PROPERTY, INVENTIONS AND COPYRIGHT**

1.4.1 Copyright of all documentation relating to this contract belongs to the SASSETA. The successful bidder may not disclose any information, documentation or products to other clients without the written approval of the accounting authority or the delegate.

1.4.2 All the intellectual property (IP) rights arising from the execution of this Agreement shall vest in SASSETA and the Service provider undertakes to honour such intellectual property rights and all future rights by keeping the know-how and all published and unpublished material confidential.

1.4.3 In the event that the service provider would like to use information or data generated by the service, the prior written permission must be obtained from SASSETA.

1.4.4 SASSETA shall own all materials produced by the Service provider during the course of this contract, or as part of the service including without limitation, deliverables, documentation, reports, data, designs, concepts and other information whether capable of being copyrighted or not ("IP").

1.4.5 The Service provider assigns all IP rights in respect of all materials referred to in clause 1.3.4 to SASSETA. No other document needs to be executed to give effect to this cession, assignment or transfer.

1.4.6 The Service provider acknowledges and agrees that:

1.4.6.1 Each provision of clause 1.3 is separate, severally and separately enforceable from any other provisions of this agreement.

1.4.6.2 The invalidity or non-enforceability of any one or more provision hereof, shall not prejudice or effect the enforceability and validity of the remaining provisions of this agreement; and

1.4.6.3 This clause 1.3 shall survive termination of this agreement.

## **1.5. NON-COMPLIANCE WITH DELIVERY TERMS**

1.5.1 As soon as it becomes known to the Service provider that he will not be able to deliver the goods/services within the delivery period and/or against the bid price and/or as specified, the SASSETA must be given immediate written notice to this effect. The SASSETA serves the right to implement remedies as provided for in the GCC.

## **1.6. WARRANTIES**

1.6.1 The Service provider warrants that it is able to conclude this Agreement to the satisfaction of the SASSETA.

1.6.2 Although the Service provider will be entitled to provide services to persons other than SASSETA, the Service provider shall not without the prior written consent of SASSETA, be involved in any manner whatsoever, directly or indirectly, in any business or venture which competes or conflicts with the obligations of the Service provider to provide the Services.

## **1.7. PARTIES NOT AFFECTED BY WAIVER OR BREACHES**

1.7.1 The waiver (whether express or implied) by any Party of any breach of the terms or conditions of this contract by the other Party shall not prejudice any remedy of the waiving party in respect of any continuing or other breach of the terms and conditions hereof.

1.7.2 No favour, delay, relaxation or indulgence on the part of any Party in exercising any power or right conferred on such Party in terms of this contract shall operate as a waiver of such power or right nor shall any single or partial exercise of any such power or right under this agreement.

## 1.8. RETENTION

- 1.8.1 On termination of this agreement, the Service provider shall on demand hand over all documentation, information, etc., relating to the provision of services as per this bid without the right of retention, to the SASSETA.
- 1.8.2 No agreement to amend or vary a contract or order or the conditions, stipulations or provisions thereof shall be valid and of any force and effect unless such agreement to amend or vary is entered into in writing and signed by the contracting parties. Any waiver of the requirement that the agreement to amend or vary shall be in writing, shall

## 2. GENERAL CONDITIONS OF CONTRACT

- 2.1 The General Conditions of Contract must be accepted. The general conditions applicable to government entity bids, contracts and order are attached herein as **Annexure A** as they will apply to this bidding process.
- 2.2 Bidders are required to initial each page of the General Conditions of Contract as an indication of acceptance.

## INVITATION TO BID

**YOU ARE HEREBY INVITED TO SUBMIT A PROPOSAL FOR REQUIREMENTS OF THE SASSETA**

**RFP NUMBER:** RFP/SASSETA/201920112 **DATE:** 09 September 2019 **CLOSING TIME:** 11h00

**DESCRIPTION:** Appointment of a Panel of Researchers and M&E Experts until 31<sup>st</sup> March 2022

**VALIDITY PERIOD:** Offer to be valid for 120 days from the closing date of the bid

---

**The successful bidder will be required to fill in and sign a written Contract Form.**

---

**BID DOCUMENT MUST BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)**

Mr Jackie Kwinika  
Supply Chain Management  
SASSETA Offices  
Riverview Office Park  
3 Janadel Avenue (off Bekker Road)  
Halfway Gardens Midrand  
1685

Faxed or e-mailed bids will not be accepted.

**Bidders should ensure that bids are delivered timeously to the correct address. If the bid is late, it will not be accepted for consideration.**

**Bids can be delivered between 08h00 and 16h00, Mondays to Friday, prior to the closing date and between 08h00 and 11h00 on the closing date.**

**All bids must be submitted on the official forms (not to be re-typed).**

**This RFP is subject to the following:**

- General Conditions of Contract (GCC) and, if applicable, any other Special Conditions of Contract.
- the Preferential Procurement Policy Framework Act, 2000
- the Preferential Policy Regulations, 2017
- All other SCM prescripts
- Bids submitted that do not comply with the following will be disqualified**
  - Bidders who do not attend the compulsory briefing session will be disqualified during phase 1 Evaluation (Mandatory requirements) of this bid.
  - A late Bid (a bid arriving one second after 11h00 or any time thereafter)
  - A proposal not recorded in the Tender submission register



## PART A - INVITATION TO BID

<b>YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (NAME OF DEPARTMENT/ PUBLIC ENTITY)</b>					
BID NUMBER:	RFP/SASSETA/201920112	CLOSING DATE:	09 September 2019	CLOSING TIME:	11h00
DESCRIPTION	Appointment of a Panel of Researchers and M&E Experts until 31st March 2022				
<b>BID RESPONSE DOCUMENTS MAY BE DELIVERED AT:</b>					
SASSETA Offices, Riverview Office Park, 3 Janadel Avenue (off Bekker Road), Halfway Gardens, Midrand, 1685					
<b>BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO</b>			<b>TECHNICAL ENQUIRIES MAY BE DIRECTED TO:</b>		
CONTACT PERSON	Mr Jackie Kwinika		CONTACT PERSON	Mr Jackie Kwinika	
E-MAIL ADDRESS	<a href="mailto:jkwinika@sasseta.org.za">jkwinika@sasseta.org.za</a>		E-MAIL ADDRESS	<a href="mailto:jkwinika@sasseta.org.za">jkwinika@sasseta.org.za</a>	
<b>SUPPLIER INFORMATION</b>					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No:	MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT	[TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES &amp; QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]</b>					
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]		ARE YOU A FOREIGN BASED SUPPLIER FOR THE <b>GOODS /SERVICES /WORKS OFFERED?</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER PART B:3 ]	
<b>QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS</b>					
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
DOES THE ENTITY HAVE A BRANCH IN THE RSA?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
<b>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.</b>					

## PART B

### TERMS AND CONDITIONS FOR BIDDING

<b>1. BID SUBMISSION:</b>
1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
1.2. <b>ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED-(NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.</b>
1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
1.4. <b>THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).</b>
<b>2. TAX COMPLIANCE REQUIREMENTS</b>
2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."

**NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**

**NAME OF SIGNATORY** .....

**SIGNATURE OF BIDDER:** .....

**N/B.:** If a Company has one director as listed on CSD, the one Director MUST sign these documents on behalf of the Company. Any other member of the Company will require a Company Resolution to be attached to this submission signed by the duly Authorised Director

**N/B.:** If the Company has more than one Director as listed on CSD, a signed Company Resolution must be attached to confirm that the one Director can sign on behalf of the Company. Any other member of the Company will require a Company Resolution to be attached to this submission signed by the duly Authorised Directors.

**CAPACITY UNDER WHICH THIS BID IS SIGNED:** .....  
(Proof of authority must be submitted e.g. company resolution)

**DATE:** .....

**DECLARATION OF INTEREST**

1. Any legal person, including persons employed by the state, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes an advertised competitive bid, a limited bid, a bid or written price quotation). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorized representatives declare his/her position in relation to the evaluating/adjudicating authority where-

- the bidder is employed by the state; and/or
- the legal person on whose behalf the bidding document is signed, has a relationship with persons/ a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.

**2. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

2.1 Full Name of bidder or his or her representatives: .....

2.2 Identity Number: .....

2.3 Position occupied in the Company (director, trustee, shareholder, member):

.....

2.4 Registration number of company, enterprise, close corporation, partnership agreement or trust:

.....

2.5 Tax Reference Number: .....

2.6 VAT Registration Number: .....

2.6.1 The names of all directors/trustees/shareholders/members, their individually Identity numbers, tax reference numbers and, if applicable, employee/PERSAL number must be indicated in paragraph 3 below.

1 "state means-

- (a) any national or provincial department, national or provincial public entity or constitutional within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or
- (e) Parliament

2 "shareholder "means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.

2.7 Are you or any person connected with the bidder presently employed by the state? **YES/NO**

2.7.1 If so, furnish the following particulars:

Name of person /director /trustees /shareholder /member: .....

Name of state institution at which you or the person connected to the bidder is employed: .....

Position occupied in the state institution: .....

Any other particulars:

.....

.....

2.7.2 If you are presently employed by the state, did you obtain the appropriate authority to undertake remunerative work outside employment in the public sector? YES/NO/N/A

**NB: (Please tick NO or N/A if your answer was NO on 2.7. above)**

2.7.2.1 If yes, did you attach proof of such authority to the bid document? YES/NO/N/A

**NB: (Please tick NO or N/A if your answer was NO on 2.7. above)**

**(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.)**

2.7.2.2 If no, furnish reasons for non-submission of such proof:

.....

.....

2.8 Did you or your spouse, or any of the company's directors/ trustees/ shareholders / members or their spouse conduct business with the state in the previous twelve months? YES/NO

2.8.1 If so, furnish particulars:

.....

.....

**NB.: (If a bidder has had a contract with any government department, /municipality/entity/parastatal/SOE, etc. please tick YES on 2.8 above and name the institutions worked for)**

2.9 Do you, or any person connected with the bidder, have any relationship (family, friend, other) with a person employed by the state and who may be involved with the evaluation and or adjudication of this bid? YES/NO

2.9.1 If so, furnish particulars:

.....

.....

2.10 Are you, or any person connected with the bidder, aware of any relationship (family, friend, other) between any other bidder and any person employed by the state who may be involved with the evaluation and or adjudication of this bid? YES/NO

2.10.1 If so, furnish particulars:

.....

.....  
 .....

2.11 Do you or any of the directors / trustees / shareholders / members of the company have any interest in any other related companies whether or not they are bidding for this contract? YES/NO

2.11.1 If so, furnish particulars:

.....  
 .....

**3 Full details of directors /trustees / members /shareholders.**

Full Name	Identity Number	Personal Income Tax Reference Number	State Number/ Peral Number	Employee Number
<b>Directors listed here to be the same as those listed on CSD.</b>				

**N/B. If more space required, Service providers are to copy this table onto their letterhead and provide information on all their directors/trustees/members/shareholders**

**4 DECLARATION**

I, THE UNDERSIGNED (NAME).....  
 CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPH 2 and 3 ABOVE IS CORRECT.  
 I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME SHOULD THIS DECLARATION PROVES TO BE FALSE.

.....  
**Signature**

.....  
**Date**

.....  
**Position**

.....  
**Name of bidder**

(To be signed by a duly Authorised Delegate. A signed Company Resolution to be submitted).

## PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2017

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution

**NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017.**

### 1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2

a) The value of this RFP is estimated **not to exceed** R50 000 000, **therefore the 80/20 reference point system shall be applicable.**

1.3 Points for this bid shall be awarded for:

- (a) Price; and
- (b) B-BBEE Status Level of Contributor.

1.4 The maximum points for this bid are allocated as follows:

	<b>POINTS</b>
<b>PRICE</b>	80
<b>B-BBEE STATUS LEVEL OF CONTRIBUTOR</b>	20
<b>Total points for Price and B-BBEE must not exceed</b>	<b>100</b>

1.5 Failure on the part of a bidder to submit proof of B-BBEE Status level of contributor together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

### 2. DEFINITIONS

- (a) **“B-BBEE”** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (b) **“B-BBEE status level of contributor”** means the B-BBEE status of an entity in terms of a code of good practice on black economic empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;

- (c) “**bid**” means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals;
- (d) “**Broad-Based Black Economic Empowerment Act**” means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (e) “**EME**” means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
- (f) “**functionality**” means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents.
- (g) “**prices**” includes all applicable taxes less all unconditional discounts;
- (h) “**proof of B-BBEE status level of contributor**” means:
- 1) B-BBEE Status level certificate issued by an authorized body or person;
  - 2) A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice;
  - 3) Any other requirement prescribed in terms of the B-BBEE Act;
- (i) “**QSE**” means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
- (j) “**rand value**” means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;

### 3. POINTS AWARDED FOR PRICE

#### 3.1 THE 80/20 PREFERENCE POINT SYSTEMS

A maximum of 80 points is allocated for price on the following basis:

**80/20**

$$P_s = 80 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$$

Where

$P_s$  = Points scored for price of bid under consideration

$P_t$  = Price of bid under consideration

$P_{\min}$  = Price of lowest acceptable bid

### 4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTOR

- 4.1 In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points (80/20 system)
1	20
2	18
3	14
4	12

5	8
6	6
7	4
8	2
<b>Non-compliant contributor</b>	<b>0</b>

**5. BID DECLARATION**

5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

**6. B-BBEE STATUS LEVEL OF CONTRIBUTOR CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1**

- 6.1 B-BBEE Status Level of Contributor: = Level .....  
*(Bidder to state their B-BBEE level from level 1 to level 8)*
- 6.2 Number of points claimed = ..... (maximum of 20 points)  
*(Bidder to state the number of points claimed from 20 to 0)*

(Points claimed in respect of paragraph 6.1 and 6.2 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor

**7. SUB-CONTRACTING**

7.1 Will any portion of the contract be sub-contracted?

***Tick applicable box***  

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
-----	--------------------------	----	--------------------------

7.1.1 If yes, indicate:

- i) What percentage of the contract will be subcontracted?.....%
- ii) The name of the sub-contractor.....
- iii) The B-BBEE status level of the sub-contractor.....
- iv) Whether the sub-contractor is an EME or QSE

***Tick applicable box***  

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
-----	--------------------------	----	--------------------------

v) Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations,2017:

Designated Group: An EME or QSE which is at last 51% owned by:	EME √	QSE √
Black people		
Black people who are youth		
Black people who are women		
Black people with disabilities		
Black people living in rural or underdeveloped areas or townships		
Cooperative owned by black people		
Black people who are military veterans		
<b>OR</b>		
Any EME		
Any QSE		



**8. DECLARATION WITH REGARD TO COMPANY/FIRM**

8.1 Name of company/firm:.....

8.2 VAT registration number:.....

8.3 Company registration number:.....

**8.4 TYPE OF COMPANY/ FIRM**

- Partnership/Joint Venture / Consortium
- One person business/sole propriety
- Close corporation
- Company
- (Pty) Limited

[TICK APPLICABLE BOX]

**8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES**

.....  
 .....  
 .....  
 .....

**8.6 COMPANY CLASSIFICATION**

- Manufacturer
- Supplier
- Professional service provider
- Other service providers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business:.....

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contributor indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If the B-BBEE status level of contributor has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –
  - (a) disqualify the person from the bidding process;

**SBD 6.1**

- (b) recover costs, losses or damages it has incurred or suffered as a result of that person’s conduct;
- (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
- (d) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
- (e) forward the matter for criminal prosecution.

WITNESSES
1. ....
2. ....
<b>(Both witnesses are required to sign this document)</b>

.....
SIGNATURE(S) OF BIDDERS(S)
DATE: .....
ADDRESS .....
.....
.....

**(To be signed by a duly Authorised Delegate. A signed Company Resolution to be submitted).**

**DECLARATION OF BIDDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES**

- 1 This Standard Bidding Document must form part of all bids invited.
- 2 It serves as a declaration to be used by Institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
- 3 The bid of any bidder may be disregarded if that bidder, or any of its directors have-
  - a. abused the institution supply chain management system
  - b. committed fraud or any other improper conduct in relation to such system; or
  - c. failure to perform on any previous contract.

4 **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

Item	Question	Yes	No
4.1	<p>Is the bidder or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector?</p> <p><b>(Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied).</b></p> <p>The Database of Restricted Suppliers now resides on the National Treasury's website(<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) and can be accessed by clicking on its link at the bottom of the home page.</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.1.1	If so, furnish particulars:		
4.2	<p>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)?</p> <p><b>The Register for Tender Defaulters can be accessed on the National Treasury's website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) by clicking on its link at the bottom of the home page.</b></p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.2.1	If so, furnish particulars:		
4.3	<p>Was the bidder or any of its directors convicted by a court of law (including a court of law outside the Republic of South Africa) for fraud or corruption during the past five years?</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.3.1	If so, furnish particulars		
4.4	<p>Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?</p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.4.1	If so, furnish particulars:		

**CERTIFICATION**

**I, THE UNDERSIGNED (FULL NAME) .....**

**CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS TRUE AND CORRECT.**

**I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.**

.....  
**Signature**

.....  
**Date**

.....  
**Position**

.....  
**Name of Bidder**

**(To be signed by a duly Authorised Delegate. A signed Company Resolution to be submitted).**

**CERTIFICATE OF INDEPENDENT BID DETERMINATION**

- 1 This Standard Bidding Document (SBD) must form part of all bids<sup>1</sup> invited.
- 2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).<sup>2</sup> Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.
- 3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:
  - a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution's supply chain management system and or committed fraud or any other improper conduct in relation to such system.
  - b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.
- 4 This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
- 5 In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:

<sup>1</sup> Includes price quotations, advertised competitive bids, limited bids and bids.

<sup>2</sup> Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.

**CERTIFICATE OF INDEPENDENT BID DETERMINATION**

I, the undersigned, in submitting the accompanying bid:

\_\_\_\_\_  
(Bid Number and Description)

in response to the invitation for the bid made by:

\_\_\_\_\_  
(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of: \_\_\_\_\_ that:  
(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
  - (a) has been requested to submit a bid in response to this bid invitation;
  - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
  - (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder
6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor.

- 7. However, communication between partners in a joint venture or consortium<sup>3</sup> will not be construed as collusive bidding.
- 8. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
  - (a) prices;
  - (b) geographical area where product or service will be rendered (market allocation)
  - (c) methods, factors or formulas used to calculate prices;
  - (d) the intention or decision to submit or not to submit, a bid;
  - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
  - (f) bidding with the intention not to win the bid.
- 9. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 10. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

<sup>3</sup> **Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.**

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.....	.....
Signature	Date
.....	.....
Position	Name of Bidder

**(To be signed by a duly Authorised Delegate. A signed Company Resolution to be submitted).**

**11. BIDDERS ARE ENCOURAGED TO USE THE FOLLOWING CHECKLIST WHEN SUBMITTING THEIR BIDS:**

NO.	DETAILS	TICK BY BIDDER
1.	Part 1: Completed and signed the invitation to bid document (SBD 1) • <b>To be signed by a duly Authorised Delegate.</b>	
2.	Part 2: Completed and signed the declaration of interest document (SBD 4). <i>(In case of a consortium/ joint venture, or where sub-Service providers are utilised, each party to the bid <b>must</b> complete and sign the declaration of interest document)</i> • <b>To be signed by a duly Authorised Delegate.</b>	
3.	Part 3: Completed and signed the Preference Points Claim form in terms of the Preferential Procurement Regulations 2017 (SBD 6.1) • <b>To be signed by a duly Authorised Delegate.</b> • <b>Not claiming points as per bullet 6.1 and 6.2 on SBD 6.1 will lead to B-BBEE points not awarded</b>	
4.	Part 4: Completed and signed the Declaration of bidders past Supply Chain Management Practices document (SBD 8). <i>(In case of a consortium/ joint venture, or where sub-Service providers are utilised, each party to the bid <b>must</b> complete and sign the Declaration of bidders past Supply Chain Management Practices document )</i> • <b>To be signed by a duly Authorised Delegate.</b>	
5.	Part 5: Completed and signed the Certificate of Independent Bid Determination (SBD 9) • <b>To be signed by a duly Authorised Delegate.</b>	
6.	Part 6: Submitted an original/ certified copy of a valid BBEE Certificate/ Sworn Affidavit signed by a Commissioner of Oath. <i>(In case of a trust, consortium or joint venture, bidders will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity <b>submits their consolidated B-BBEE scorecard</b> as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid.</i> • <b>Non submission of a valid B-BBEE Certificate/sworn affidavit will lead to zero points awarded</b>	
7.	Part 7: Submitted the General Conditions of Contract (initialled each page)	
8.	Part 8: Submitted the Technical approach	
9.	Part 9: Submitted the Details of the team and included their CV	
10.	Part 10: Submitted Experience in the field document and reference letters	
11.	Part 11: Bidders National Treasury Central Supplier Database (CSD) forms indicating the validity of the bidder's registration • <b>Directors listed on SBD 4 to be the same as those listed on the CSD report</b>	
12.	Part 12: Bidder's quotation on the Company letterhead inclusive of VAT and any other applicable costs	

**NB.: Bidders are required to return the SASSETA attached Standard Bidding (SBD) forms and not submit SBD forms from other entities.**

**The SASSETA logo cannot be displayed any shape or form on proposals sent to SASSETA for consideration.**